



**MICK SMITH**

Mick co-founded Calunius (with Mark Wells) in 2006. He studied Mathematics and then Law at Cambridge University. He speaks English and Spanish.

Mick qualified as a solicitor at Freshfields in 1996, practising in London and Madrid. Subsequently he worked in finance in a variety of investment banking roles at Chase Manhattan, Crédit Agricole Lazard Financial Products Bank and Dresdner Kleinwort.

Mick is responsible for origination and transaction execution at Calunius, sourcing and analysing investments in litigation and arbitration claims. These claims are frequently cross-border involving both common and civil law. Typical examples are:

- Commercial breach of contract cases in courts or arbitration under ICC, LCIA, DIFC, SCC or other rules;
- Competition law claims following a breach of EC regulations; and
- Investment treaty (“BIT”) claims (ICSID and UNCITRAL).

Mick is the author of various recent publications, including:

- the chapter “**Mechanics of Third-Party Funding Agreements: A Funder’s Perspective**” in the book “**Third Party Funding in International Arbitration**” by Lisa Bench Nieuwveld and Victoria Shannon (*Kluwer Law*, October 2012 ISBN 13: 9789041140791);
- “**Leniency, Pfleiderer and the impossibility of balance**” (with C. Petra Grigoriadou) in *Global Competition Review* (December 2012).

He is a frequent speaker and contributor internationally on the subject of TPF, and more general financial issues in litigation, including:

- 2012 ADR in Asia Conference (Hong Kong October 2012) - “TPF: Who holds the purse strings in international arbitration?”
- GAR Live (London Nov 2012) – speaking (successfully) for the proposition: “This House believes Third Party Funding is the best thing since Sliced Bread”.
- OGEMID – in Sep/Oct 2012 Mick acted as a moderator on the subjects of Disclosure of Third Party Funding, and related subjects such as Security for Costs, Cost of Capital and Damages methodology.
- ICC France Working Group 2012 (Arbitration financed by third parties)

He is also Calunius’ Compliance Officer. Calunius is subject to regulatory oversight by the Securities and Exchange Commission, the Financial Services Authority and the Guernsey Financial Services Commission.